

## INVESTOR GRIEVANCE REDRESSAL POLICY

### 1. OBJECTIVE

- a. This Policy is formulated to provide the best of our services to our Investors and Distributors, if any. We provide easy access to information on our products and services; we also help you get your grievances redressed with ease.
- b. At Fourdegreewater Finvest Private Limited, Customers are treated fairly at all times.
- c. We endeavour to resolve client's complaint on a priority basis with utmost transparency.
- d. Resolving your problem helps us review our processes and take necessary steps to prevent recurrence. This Policy was approved by the Board of directors in its meeting held on

### 2. COMPLAINTS REGISTRATION

The various channels available to Investors for registering the complaints are as follows:

- a. E-Mail: Investors can log their complaint by sending mail to an email id: [compliance@wintwealth.com](mailto:compliance@wintwealth.com)
- b. Grievance Redressal Officer: Investors can contact the Grievance Redressal Officer for redressal of issues. **Compliance Officer and Grievance Redressal Officer: V. Ramya Rajasri Kumar**
- c. Escalation of Complaints: If an investor is not satisfied with the resolution provided through various channels or the method of handling complaint; the investor can escalate the issues to SEBI Complaints Redress System (SCORES). SEBI maintains SCORES which is a web based centralized grievance redressal system of SEBI. Investors can lodge their grievances / complaints through the SCORES link given below. SCORES enables investors to lodge and follow up their complaints and track the status of redressal of such complaints online from the above website from anywhere. Investors can also lodge grievances / complaints in physical form at any of the offices of SEBI. Such grievances complaints would be scanned and uploaded in SCORES for processing.

<https://scores.sebi.gov.in/>

For further escalation, you can initiate online dispute resolution through the ODR portal on link: <https://smartodr.in/login>

### 3. REDRESSAL OF COMPLAINTS

#### Responsibility

- a. Primary responsibility is with the Compliance Officer to resolve the complaint for which he/she would liaise with the other relevant departments (Operations, Accounts, KYC, Research, Sales etc).
- b. If the issue persists, the same will be escalated to the Senior Management.
- c. All complaints received shall be recorded internally including the resolution of the complaint.

### **Time for Response**

General Turn Around Time (TAT) for response to complaint is:

- a. Cases involving third party: 15 working days
- b. Scores related complaints: As specified by the regulator from time to time

### **REVIEW**

The Board of directors of the Company shall periodically review the Grievance Redressal Policy to ensure that process deficiencies, if any, are addressed. The Board shall also periodically review the Statement of Complaints received, resolved and pending, along with reasons for the same.